

Summary tables

Hall of Shame

The following overview summarises the types of financial relationships that financial institutions have with producers of cluster munitions on our Red Flag List.

Key: **B** = ownership or management of (convertible) bonds, **L** = provision of loan facility, **S** = ownership or management of shares, **X** = underwriting of share issues, **Y** = underwriting of bonds issues.

Financial Institution	Country of origin	Cluster munitions producers							
		Alliant Techsystems ATK (US)	Hanwha (South Korea)	L-3 Communications (US)	Lockheed Martin (US)	Poongsan (South Korea)	Roketsan (Turkey)	Singapore Technologies (Singapore)	Textron (US)
Aberdeen Asset Management	United Kingdom							S	
Alaska Permanent Fund Corporation (APFC)	United States	S			S			S	S
Acadian Asset Management	United States					S			
Alexandra Investment Management	United States	B							
Allianz	Germany							S	
Allstate Corporation	United States	B							
ANZ Bank	Australia				L				
Argent Funds Group	United States	B							
Aristeia International Limited	United States	B							
Arnhold & S Bleichroeder Advisers	United States	S							
Aronson, Johnson & Ortiz	United States			S					
AXA	France			S	S			S	
Balanced Equity Management	Australia							S	
Banco Bilbao Vizcaya Argentaria	Spain				L				
Bank of America	United States	L,S		S	L				L,Y
Bank of New York Mellon	United States	L		S	L				L
Bank of Tokyo-Mitsubishi UFJ	Japan	L			L				L,X,Y
Barclays	United Kingdom				L				L,X,Y
Bayerische Landesbank	Germany				L				
Bear Sterns	United States				L				
BlackRock	United States	S	S	S	S			S	S
BMO Financial Group	United States				L				L
BNP Paribas	France		S		L				L,Y
Caisse de Depot et Placement du Québec	Canada					S			
Calamos Holdings	United States	B							
California Public Employees' Retirement System (CalPERS)	United States	S	S	S	S	S		S	S

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Calyon	France	L			L				
Canada Pension Plan Investment Board	Canada		S						
Canadian Imperial Bank of Commerce	Canada	B							
Canyon Capital Advisors	United States	B							
Capital Group	United States				S		S	S	
Cheonan Bukil Education Foundation	South Korea		S						
CI Financial Corporation	Canada	S							
Citadel Group	United States	B							
Citigroup	United States	B			L,Y				L,X, Y
Commerzbank	Germany	L			L				
CQS	United Kingdom	B							
Credit Suisse	Switzerland	B					S		L,X, Y
Daewoo Securities	South Korea		Y						
Daiwa Asset Management	Japan						S		
DBS	Singapore						S		
Deutsche Bank	Germany	B					Y		L,X, Y
Dimensional Fund Advisors	United States					S			
Dongbu Securities	South Korea		Y						
Eagle Capital Management	United States			S					
Eaton Vance Corporation	United States				S				
Edgewood Management	United States			S					
Epoch Investment Partners	United States	S							
Export Import Bank of Korea	South Korea		Y						
Fidelity	United States	S	S		S		S	S	
General Electric (GE)	United States	L,S							S
Genesis Investment Management	United Kingdom					S			
Goldman Sachs	United States	L,S			Y				L,X, Y
Grantham Mayo Van Otterloo & Co	United States						S		
Great-West Lifeco	United States			S					
Hanwha Securities	South Korea		Y						
Henderson Global Investors	United Kingdom						S		
HMC Investment Bank	South Korea		Y						
HSBC	United Kingdom								L,X, Y

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Hua Nan Bank	Taiwan	L							
Intesa San Paolo	Italy				L				
Invesco	United States				S			S	
Janus Capital Group	United States	S			S				
Jennison Associates	United States				S				
JP Morgan Chase	United States	B, L,S			L,Y				L,X, Y
Kamunting Street Master Fund	United States	B							
KBC Bank	Belgium	B							
Kokusai Asset Management	Japan							S	
Kookmin Bank	South Korea		Y						
Korea Development Bank	South Korea		Y			Y			
Korea Investment & Securities	South Korea		Y						
Lazard Capital Markets	United States								Y
Legal & General	United Kingdom					S			
Legg Mason Global Asset Management	United States			S					
Linden Capital	Singapore	B							
Lloyds TSB Bank	United Kingdom				L				
Lord Abbet & Co	United States	S							
LSV Asset Management	United States								S
Lydian Asset Management	United States	B							
Marsico Capital Management	United States				S				
Mellon Capital Management	United States								S
Meritz Securities	South Korea		Y						
Merrill Lynch	United States	L							L,X, Y
Metzler Investments	Germany							S	
MFS Investment Management	United States				S				
Mirae Asset Securities	South Korea		Y						
Mizuho Bank	Japan	L			L				
Morgan Stanley	United States				L			Y	L,Y
Natixis	France	S							
National City Bank	United States	L							
National Pension Service	South Korea		S						
Neuberger Berman	United States	S							
Newton Investment Management	United Kingdom							S	
Northern Trust	United States	L		S	L,S				
Nuveen Investments	United States				S				
Old Mutual	United Kingdom			S					

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OppenheimerFunds	United States				S				
People's Bank (People's United Bank)	United States	L							
Platinum Grove Asset Management	United States	B							
PPM America	United States								S
Principal Financial Group	United States	S							
Prudential Asset Management	United Kingdom							S	
Pzena Investment Management	United States			S					
Regions Bank	United States	L							
Royal Bank of Scotland	United Kingdom	L			L				
Russell Investment Group	United States					S			
Riyad Bank	Saudi Arabia				L				
Sandelman Partners	United States	B							
Schroder Investment Management	United Kingdom							S	
Scotiabank	Canada				L				L
Shinhan Bank	South Korea		Y						
Shin Heung Securities	South Korea		Y						
SK Securities	South Korea					Y			
Société Générale	France	B							L
Standard Life	United Kingdom	S							
State Street	United States	S		S	L,S	S		S	S
Sumitomo Mitsui Banking Corporation	Japan				L				
SunTrust Bank	United States				L				
Teachers Insurance & Annuity Association	United States		S	S					S
Temasek Holdings	Singapore							S	
T Rowe Price Group	United States	S			S				
UBS	Switzerland				L	S		S	L,X, Y
Union Investment Group	Germany							S	
United Overseas Bank	Singapore	L							
Universal Investment Gesellschaft	Germany							S	
US Bank	United States	L			L				
Vakifbank (Türkiye Vakıflar Bankası)	Turkey						S		
Vanguard Group	United States	B,S	S	S	S			S	S
Vaughan Nelson Investment Management	United States	S							
Veritas Asset Management	United Kingdom							S	

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Vicis Capital	United States	B							
Wachovia Bank	United States				L				L,Y
Wellington Management Company	United States	S			S				
Wells Fargo Bank	United States				L				
WestLB	Germany				L				
Westwood Holdings Group	United States	S							
William Street Commitment Corporation	United States				L				L
Woori Investment & Securities	South Korea		Y						

Country of origin of Financial Institutions in the Hall of Shame

